## **Timing Channels and Shift-Correcting Codes**

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• C. E. Shannon, "The Zero Error Capacity of a Noisy Channel," *IRE Trans. Inf. Theory* 2 (3), 1956.

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  - Every two codewords are confusable  $\Longrightarrow$  the zero-error capacity of the BEC is equal to zero

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• We can transmit one bit per channel use in this way

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  - L. Lovasz, "On the Shannon Capacity of a Graph," *IEEE Trans. Inf. Theory* 25 (1), 1979. (IT paper award)

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# The Shift Channel: Comments

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# Optimal zero-error codes

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- Sequences of length n and weight W can be represented as W-tuples of integers (p<sub>1</sub>,..., p<sub>W</sub>), where p<sub>i</sub> is the position of the i'th 1 in the sequence

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- $10010 \leftrightarrow (1,4)$
- Example: n = 9, W = 2, K = 1

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(0,0)(0,1) (1,1)Ο O (0,2) (1,2) (2,2)O  $\cap$  $\cap$ (0,3) (1,3) (2,3) (3,3) $\cap$  $\cap$ Ο റ (0,4) (1,4) (2,4) (3,4) (4,4)0  $\cap$  $\cap$  $\cap$ (0,5) (1,5) (2,5) (3,5) (4,5) (5,5) $\cap$  $\cap$  $\cap$  $\cap$  $\cap$  $\cap$ (0,6) (1,6) (2,6) (3,6) (4,6) (5,6) (6,6) Ο Ο  $\cap$  $\cap$  $\cap$  $\cap$ Ο (0,7) (1,7) (2,7) (3,7) (4,7) (5,7) (6,7) (7,7)Ο  $\cap$  $\cap$  $\cap$  $\cap$ 





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• Optimal code of length n and weight W is given by

$$\mathcal{C}(n,W) = \left\{ \mathbf{x} \in \Delta^{W}_{n-W} \ : \ \mathbf{x} = \mathbf{0} \ (\mathsf{mod} \ K+1) 
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• 
$$M(n) = \sum_{W=0}^{n} M(n, W)$$

# Zero-error capacity

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• Since the constructed codes are optimal, the zero-error capacity is equal to

$$C_0 = \lim_{n \to \infty} \frac{1}{n} \log M(n)$$

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#### Theorem

The zero-error capacity of the Shift Channel with parameter K is equal to log r, where r is the unique positive real root of the polynomial  $x^{K+1} - x^K - 1$ .

# Zero-error capacity

- Proof:
  - M(n) can also be described recursively

$$M(n) = M(n-1) + M(n-K-1)$$

with M(n) = n + 1 for  $n \leq K$ 

• This implies that

$$M(n) = \sum_{k=0}^{K} a_k r_k^n$$

where  $r_k$  are the roots of the polynomial  $x^{K+1} - x^K - 1$ , and  $a_k$  are complex constants

• Therefore,  $M(n) \sim ar^n$ , where r is the largest of these roots (which is the unique positive real root)

• The constant-weight zero-error capacity—

 The constant-weight zero-error capacity—the largest rate attainable asymptotically with the requirement that the fraction of the slots used for transmitting packets equals ω:

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$$C_0(\omega) = \lim_{n \to \infty} \frac{1}{n} \log M(n, \omega n) = \frac{\omega K + 1}{K + 1} \mathcal{H}\left(\frac{\omega(K + 1)}{\omega K + 1}\right)$$

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The zero-error capacity can be achieved with constant-weight codes, so

$$C_0 = \max_{\omega \in [0,1]} C_0(\omega) = \frac{\omega^* K + 1}{K + 1} \mathcal{H}\left(\frac{\omega^* (K + 1)}{\omega^* K + 1}\right)$$

• More precise asymptotics:

$$\frac{1}{n}\log M(n,\omega^*n) = C_0 - \frac{1}{2n}\log n + \mathcal{O}\left(\frac{1}{n}\right).$$

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 Note: Even though the capacity can be achieved with constant-weight codes, their performance is worse at finite blocklengths More precise asymptotics:

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  - This is quantified by the second-order term  $-\frac{1}{2n}\log n$

# Generalizations

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• P = 2: 10010  $\longrightarrow$  A00A0, A00B0, B00A0, B00B0

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- P = 2: 10010  $\longrightarrow$  A00A0, A00B0, B00A0, B00B0
- This construction is optimal

- *P* types of packets; the delay of each packet is at most *K*, as before, and the packets cannot be reordered (queue with a FIFO service procedure)
  - Now the packets themselves also carry information
- Main observation: We can first design the "timing" code (P = 1), and then assign to every such codeword of weight W all possible sequences of packets  $(P^W \text{ of them})$ 
  - P = 2: 10010  $\longrightarrow$  A00A0, A00B0, B00A0, B00B0
  - This construction is optimal
  - Zero-error capacity is equal to log r, where r is the unique positive real root of the polynomial  $x^{K+1} Px^K 1$

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• *P* types of packets; additional noise modeled as a memoryless channel "acting" on the packets and having zero-error capacity  $C_0'$ 

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- P types of packets; additional noise modeled as a memoryless channel "acting" on the packets and having zero-error capacity  $C_0'$ 
  - Replace P with  $2^{C'_0}$
- Allowed shifts from  $\{-K_1, \ldots, 0, \ldots, K_2\}$ 
  - Equivalent to shifts belonging to  $\{0, \ldots, K_1 + K_2\}$
- Continuous-time channel with emissions separated by at least  $\tau$  seconds, and with the maximum delay of T seconds
  - The capacity equals  $\frac{1}{\tau} \log r$ , where r is the unique positive root of the polynomial  $x^{T/\tau} x^{T/\tau-1} 1$

# Zero-error-detecting codes

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• Zero-error-detecting code is a code which can detect all errors (in our case shifts) allowed in the model

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- Zero-error-detecting code is a code which can detect all errors (in our case shifts) allowed in the model
  - No codeword can produce another *codeword* at the output

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- Zero-error-detecting code is a code which can detect all errors (in our case shifts) allowed in the model
  - No codeword can produce another *codeword* at the output
- Zero-error-detection capacity of a channel is the largest rate achievable (asymptotically) with zero-error-detecting codes

(0,0)О (0,1) (1,1) $\circ$ О (0,2) (1,2) (2,2) $\cap$  $\cap$ (0,3) (1,3) (2,3) (3,3)Ο  $\cap$  $\cap$ Ο (0,4) (1,4) (2,4) (3,4) (4,4) $\cap$  $\cap$  $\cap$  $\cap$  $\cap$ (0,5) (1,5) (2,5) (3,5) (4,5) (5,5) O  $\cap$  $\cap$ О (0,6)(1,6) (2,6) (3,6) (4,6) (5,6) (6,6)Ο  $\cap$  $\cap$  $\circ$ Ο  $\cap$ (0,7)(1,7) (2,7) (3,7) (4,7) (5,7)(6,7) (7,7)Ο O O  $\cap$ 

#### Zero-error-detecting codes: Example (K = 2)

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• Example: n = 9, W = 2,  $K_1 = 0$ ,  $K_2 = 2$ 

# Zero-error-detecting codes: Construction ( $K_1 = 0, K_2 = 2$ )



• Claim: The code  $\mathcal{D}^{(a)}(n, W)$  is zero-error-detecting



- **Claim:** The code  $\mathcal{D}^{(a)}(n, W)$  is zero-error-detecting
- Proof:
  - Let **y** be the received sequence
  - If  $\sum_{i=1}^{W} y_i \neq a \pmod{WK_2 + 1}$ , the receiver detects an error
  - Suppose then that  $\sum_{i=1}^{W} y_i = a \pmod{WK_2 + 1}$
  - This means that the sum of the coordinates has been changed in the channel by a multiple of  $WK_2 + 1$
  - Since  $-K_1 \leq y_i x_i \leq K_2$  in our model, we have  $-WK_1 \leq \sum_{i=1}^{W} (y_i x_i) \leq WK_2$ , so the sum could not have been changed for a nonzero multiple of  $WK_2 + 1$
  - Therefore, the sum wasn't changed at all and, if there were any shifts in channel, some of them must have been shifts to the right and some of them to the left
  - Suppose that the *i*'th particle was shifted to the left,  $y_i < x_i$
  - Then, since  $x_i$  is a multiple of  $K_1 + 1$ , and  $-K_1 \le y_i x_i < 0$ ,  $y_i$  cannot be a multiple of  $K_1 + 1$ , and so **y** is not a codeword

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Asymptotically, as n→∞ and W ~ ωn, the codes D<sup>(a)</sup>(n, W) have the same rate as the codes C(n, W) designed for the smaller of the two parameters K<sub>1</sub>, K<sub>2</sub>

• One cannot do better than this (asymptotically):

Every code detecting shifts from  $\{-K_1, \ldots, 0, \ldots, K_2\}$  is a code correcting shifts from  $\{-K_1, \ldots, 0\}$ 

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#### Theorem

The zero-error-detection capacity of the Shift Channel with parameters  $K_1$ ,  $K_2$ , is equal to log r, where r is the unique positive real root of the polynomial  $x^{\min\{K_1,K_2\}+1} - x^{\min\{K_1,K_2\}} - 1$ .

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 ...which is the same as the zero-error-correction capacity of the Shift Channel with parameters 0, min{K<sub>1</sub>, K<sub>2</sub>}

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$$\frac{1}{L_{\rm av}(n)}\log M(n)$$

where  $L_{av}(n)$  is the average output length (average over all codewords and channel statistics)

• Note: We can again focus on the constant-weight case

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#### Optimal zero-error codes: Geometric approach





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#### Optimal zero-error codes

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The capacity:

$$C_0^{ ext{Q}} = rac{1}{K+1}$$

### Generalizations

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• *P* types of packets, no reordering (FIFO service)

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#### Theorem

Zero-error capacity of the DTQP with P types of packets is

$$C_0^{\scriptscriptstyle Q} = \max\left\{rac{\log(P+1)}{K+1} \ , \ rac{\log P}{ar{\kappa}+1}
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• Even though we are analyzing the zero-error case, the capacity depends on the channel statistics through  $\bar{\kappa} = \sum_{k=0}^{K} k \cdot p(k)$ 

## And Finally...

# Thank you for your attention!

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